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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: <b>PCT/IT92/00142</b> (22) International Filing Date: <b>10 November 1992 (10.11.92)</b> (30) Priority data: <b>MI91A002993 11 November 1991 (11.11.91) IT</b> (71) Applicant (for all designated States except US): <b>FONDAZIONE CENTRO SAN ROMANELLO DEL MONTE TABOR [IT/IT]; I-37031 Illasi (IT).</b> (72) Inventor; and (75) Inventor/Applicant (for US only) : <b>LEV, Avigdor [IT/IT]; Residenza Seminario, 5/11, I-20090 Segrate (IT).</b> (74) Agents: <b>AIMI, Luciano; Società Italiana Brevetti, Via Carducci, 8, I-20123 Milano (IT) et al.</b></p>		<p>(81) Designated States: <b>CA, JP, KR, LK, SE, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).</b>  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: <b>A RADIATING DEVICE FOR HYPERTHERMIA</b></p> <div data-bbox="552 1113 1364 1722"> </div> <p>(57) Abstract</p> <p>A flexible three-lumen catheter provided with a balloon (7) carries a sealingly sheathed radiofrequency radiating antenna (1), together with the shielded power supply cable and with some thermocouples (6,6',6''), within a plastic lining (27) surrounded by a flow of liquid; a second lumen (5) carries the power supply cables of some outer thermocouples, flooded by the reverse liquid flow, while the third lumen (8) allows a fluid to flow through for inflating the balloon. Introduction of the catheter into a hollow organ (32) makes it possible to perform hyperthermal therapy of tumors by means of radiation.</p>		

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" A RADIATING DEVICE FOR HYPERTERMIA "

This invention concerns a radiating device for hyper-  
thermia and, more particularly, a radiofrequency radiat-  
ing device, for hyperthermal treatment of tumors of the  
5 bladder.

Devices for hyperthermal treatment of various human body  
illnesses are already known, and they use heating  
liquids, light radiations, radiofrequency antennas,  
thermistors, and so on.

10 US Patent No. 4 776 334 describes a catheter for treat-  
ing tumors by inserting within the tumor to be treated  
a radiofrequency device provided with temperature  
sensors.

French patent application 2600205 concerns an apparatus  
15 for light irradiation of a cavity with the help of an  
inflatable balloon and of light sensors.

In US patent No. 4 154 246 there is described a radio-  
frequency resonating circuit which is introduced in  
natural cavities of the body or directly inserted into  
20 the tumoral mass.

German patent application No. 2 848 636 claims usage of  
a heated liquid which is circulated in a closed loop by  
means of a pump within a body cavity, wherein the liquid  
temperature is controlled by an external thermostat.

25 It is an object of this invention to provide a device

for hyperthermal treatment of tumors within natural cavities of the human body, which gathers the advantages of the known devices while being free from their drawbacks.

5 The device according to this invention substantially comprises a flexible triple path catheter carrying a radiofrequency radiating antenna, sealingly sheathed together with the shielded cable providing power supply and with several thermocouples within a plastic casing  
10 and surrounded by a flow of liquid; a second path carries the power supply cables for several outer thermocouples, which are flooded by return flow of said liquid, and a third path allowing a fluid to flow through in order to inflate a balloon located near the  
15 catheter end, once the latter has been introduced into the cavity to be treated.

This invention will be described more particularly in the following based on a specific embodiment thereof reported herein for exemplary and non limiting purposes,  
20 as well as on the attached schematic drawings. In connection with the above it should be pointed out that in said drawings the parts shown are not to scale and the mutual dimensions are out of proportion, the members having in fact a very thin cross-section.

25 Figure 1 shows schematically, in an enlarged scale, the

end of the device according to this invention, which has to be introduced into a natural cavity of the human body;

Figure 1A shows an enlarged schematic cross-section of the device according to this invention, taken along line A-A of Figure 1;

Figure 2 is a schematic of some structural details of a radiofrequency antenna shown in general within the device of Figure 1;

Figure 2A shows a schematic enlarged cross-section of the radiating antenna, taken along line A-A of Figure 2; Figure 3 is a schematic of the end of the device according to this invention, opposite to the end shown in Figure 1;

Figure 4 is a plot of the intensity of the radiation generated by the radiating antenna of Figure 2, along the longitudinal axis thereof;

Figure 5 shows schematically the end of the device of Figure 1, as it is seen after having been introduced into a urinary bladder; and

Figure 6 shows schematically the structure at the end of the device shown in Figure 1, when ready for introduction into the organ to be treated.

The device according to this invention has a shape and consistency of a flexible catheter whose front end, as

it is shown in Figure 1, encloses therewithin an antenna 1 surrounded by a flow of liquid 2 which is introduced into the bladder through an opening 3 and, after being freely circulated within said bladder, is again sucked  
5 into the catheter through an opening 4. Said opening 4 is in communication with a second way or catheter side channel 5 housing the leads of several thermocouples, like for instance 6, 6', 6" adapted to be deflected outwards by inflating a balloon 7 in which a gaseous fluid  
10 or a liquid is made to flow through a third path or side channel 8 and through an end opening 9.

The catheter opposite end (Figure 3) whose tip is shown in Figure 1, has three diverging inlets corresponding to the three paths or channels 2, 5, 8 of said catheter.  
15 Within center inlet 10 there is inserted with a pressure fit a plug 11 provided with a center through passage and with a side branch 13; in center passage 12 of plug 11 there is in turn pressure fitted a second plug 14 which is provided as well with a center opening 15. Shielded  
20 cable 16 supplying power to antenna 1 runs through the center passages 12 and 15 of said two coaxially arranged plugs, while side branch 13 is provided as an inlet and an outlet of a conditioning fluid flowing along channel 2. Thermocouple power supply cables 6, 6', 6" are laid  
25 through side entrance 17 provided with a branch 18, and

they run along side path or channel 5 having said conditioning liquid flowing in a reverse direction therethrough, said liquid entering and exiting in turn through said branch 18. The other side entrance 19 is  
5 provided with a one-way valve 20 for introducing the fluid that, flowing along second side channel 8, is used to inflate balloon 7.

Slightly downstream from said three entrances 10, 17, 19 there is provided, in a sleeve-like fashion and in  
10 intimate contact around the catheter body, a heat exchanger 31, operated in a known fashion from outside, and used to cool or to heat said conditioning liquid flowing through central channel 2 and coming back through side channel 5, or viceversa.

15 Referring now to Figures 2 and 2A, radiating antenna 1 will be described more in detail; the useful radiating portion of linear dipole antenna 1 comprises a terminal coil-shaped segment 21 of central conductor 22 which, immediately upstream from coil 21 is tightly surrounded,  
20 in sequence, by a first plastic inner sleeve 23, by a metal braiding 24, by a second intermediate plastic sleeve 25, by a metal cylinder 26 electrically connected with shield 24, and eventually by an outer plastic sleeve 27.

25 Immediately beneath sleeve 27 there is provided the



power supply cables for several thermocouples located in a way suitable to detect the operating temperatures in predetermined positions of the antenna and of the power supply cable thereof. For instance, a first thermocouple 5 28 may be located in the position of the stretch of catheter which will be located at the prostatic urethra when the catheter with its antenna are inserted within the bladder; a second thermocouple 29 slightly upstream from antenna 1, at the bladder neck, while a third 10 thermocouple 30 is located close to central conductor 22, between metal cylinder 26 and end coil 21, after having been wrapped with one or more coils around shield 24 immediately upstream from intermediate sleeve 25 and metal cylinder 26, and a second time, with a larger 15 number of coils, around the stretch of central lead 22 projecting out of metal cylinder 26 before winding up to form end coil 21, the stretch of thermocouple 30 power supply cable connecting said two points being inserted with intimate contact between intermediate sleeve 25 and 20 metal cylinder 26.

In any case, the end stretches of the power supply cables, immediately ahead of the thermocouples, are wrapped in a number of helical coils in order to increase the thermal capacity and the radiofrequency 25 resistance of the ends which are designated to detect

the temperature, while reducing to a minimum, or completely avoiding the dispersive thermal conduction along said cables.

In Figure 1A, which shows schematically a cross-section of the catheter according to this invention, taken in any position of the stretch going from heat exchanger 31 to intermediate sleeve 25, there is shown side channel 5 carrying the power supply cables of thermocouples 6, 6', 6" and side channel 8 for the flow of the fluid used to inflate balloon 7, both said channels 5 and 8 being managed within the thickness of the actual catheter whose inner bore 2 intended for the flow of the conditioning liquid carries, in a central position, shielded cable 16 comprised of central conductor 22, inner sleeve 23, shield 24 and outer sleeve 27, as well as inner thermocouples 28, 29 and 30 power supply cables (not shown).

Figure 2A is a schematic cross-section of antenna 1, taken along line A-A of Figure 2. The following are shown therein, starting from the center: conductor 22, inner sleeve 23, metal shield 24, an intermediate sleeve 25, a metal cylinder 26, and outer sleeve 27, as well as thermocouple 30 power supply conductor.

Figure 4 is a diagram showing the radiation intensity starting from the coil-shaped end 21 of antenna 1

towards shielded power supply cable 22, 16. As it is shown, intensity is a maximum when passing from radiating coil 21 to the stretch protected by metal cylinder 26, and it tends to nil at the position of shielded cable 16.

There is shown schematically in Figure 5 the longitudinal section of the catheter provided with a radiating antenna according to this invention, once it has been introduced into the bladder, in an operative condition.

The catheter, carrying the radiating antenna therewithin, is introduced into bladder 32 through the urethra, in such a way that the rear end of protective metal cylinder 26 is located approximately at the bladder neck, in the transition area between prostata 33 and bladder 32, while simultaneously taking care that the catheter front end does not subject the bladder internal wall to any pressure. Once the catheter has been introduced into the bladder in such a way, one actuates the supply pump of conditioning liquid 2 preferably comprising a solution of a selective citotoxicity substance, which is accordingly forced to circulate through the bladder coming out from opening 3 and going back through opening 4, or viceversa, along side channel 5 which carries the power supply cables of thermocouples 6, 6', 6" therewithin. The liquid forced circulation,

provided by the variable flowrate supply pump, suitably combined with an outer balancing and degassing chamber, allows the volume of liquid within the bladder to be balanced at will, in such a way as to compensate the pathological or physiological urine production, while thoroughly ejecting the gases generated or unwillingly introduced in circulation, out of the bladder, in order to prevent irradiation non-uniformities which would otherwise be caused by coexistence of anisotropic media.

10 Once bladder 32 has been completely filled with conditioning liquid 2, balloon 7 is inflated by introducing a fluid, which may be a gas but it is preferably a liquid, along side channel 8 and through the end opening 9 thereof; balloon 7 inflated as mentioned above, pushes

15 then against outer thermocouples 6, 6', 6" power supply cables thereby moving said thermocouples into tangential engagement against bladder wall 32 in different positions, in order to detect the temperatures prevailing therein as caused by irradiation generated by antenna 1.

20 The possibility of changing the location and the number of the outer thermocouples, enables the thermocouples to be positioned at will, on the bladder wall, or in any case of the body organ to be treated, while being able to individually check the temperatures in the various

25 locations. The inflated balloon 7 protects the bladder

neck wall from an excess heat caused by the proximity of the radiating antenna, and in the meantime it prevents the catheter from being accidentally displaced or from coming out through the bladder neck.

5 The dimensions of antenna 1 are such that it may be freely positioned along the catheter while being obviously wholly contained within the human bladder to be treated, but in the meantime they must be suitable to generate a therapeutically active radiation, in order to reach the temperatures considered lethal for the cancer  
10 cells. Since the physical length of an antenna is related to the virtual electrical length thereof through an equation involving the impedance of said antenna, as well as the impedance deriving from the environment irradiated by the antenna, the antenna electrical length  
15 comes out to be inversely proportional to the irradiated medium conductivity. Accordingly, since the conductivity of an aqueous solution is for instance many times higher than the conductivity of air, when operating in an aqueous environment it is possible to use an antenna  
20 which is physically quite shorter than the length needed if it were necessary to operate in air.

The dipole according to this invention corresponds to a dipole of the quarter wave type and in the aqueous  
25 environment comprising the solution filled bladder, it

makes it possible to operate at frequencies in the range of 900-1000 MHz; in particular, a frequency of 915 MHz has been chosen since very different frequencies would result in penetration, intensity, and other effects not always exactly predictable and controllable in the body tissues, since in general high frequencies have a low penetration power and therefore they do not provide the desired local heating, while lower frequencies, having a higher penetration power, may get deeper tissues involved and damaged.

On the other hand, radiations having different wavelengths might create a disturbance for radio and telephone communications, protected by constraints imposed by the legislations of the various countries.

In order to reduce to a minimum and possibly to nil the influence of the radiofrequency field on the thermocouples, as well as the various thermoelectric effects connatural with said thermocouples, the supply cable end stretches close to the thermocouples are wound into an helical shape whereby the temperature measured in the various sensing points is a reliable data, unaffected by said influences. The above structure construction prevents measuring errors due to conduction, it provides a reliable temperature indication, for instance exactly in the area of the dipole power supply position in the case

of thermocouple 30, and it reduces in an extremely effective manner the thermocouple self-heating process due to radiofrequencies, also when there is an extremely high concentration of energy, whereby said structures  
5 are almost unaffected by the disturbances in the radio-frequency field.

Since the sizes, and in particular the cross-sections of the plural device components according to this invention must be extremely small, to suit the particular field of  
10 use desired for the device, the energy losses due for instance to self-heating of the antenna power supply cable are particularly high, for instance in the range of 20-40%. Since this undesirable self-heating, due to the Joule effect, might cause excessive heating of the  
15 urethral walls, and accordingly a discomfort for the patient subjected to treatment, or even damages to the tissues, the antenna cable, and the antenna itself are continuously cooled, while in operation, by using the conditioning liquid flow directed to the bladder and  
20 then withdrawn again therefrom, whereby a simultaneous control action is obtained, for controlling the temperature prevailing both in the liquid within the bladder and along the urethra. Temperature control is effected by variations of the conditioning liquid supply  
25 flow and of the cooling source temperature. In such a

way it is possible both to increase the temperature and to withdraw heat.

In order to enable outer thermocouples 6, 6', 6" for detecting the bladder wall temperature to be safely deflected outwards when balloon 7 is inflated, the power supply cables thereof are reinforced along their whole length by inserting within the protecting sheath thereof a thin stainless steel wire providing them with the required rigidity and flexibility. The presence of said reinforcing wire provides as well the thermocouple power supply cables with the mechanical strength necessary to bear the compressive and tensile stresses caused when the cables are inserted within side channel 5, and when thermocouples 6, 6', 6" are laid in the desired locations.

When the catheter, provided with all its components, is introduced into the urethral channel, all the way to the bladder, the ends of outer thermocouples 6, 6', 6" projecting upstream of balloon 7 through opening 4 are temporarily locked by inserting them, downstream of balloon 7, in one or more notches provided, as the case may be, in suitable positions according to the different body organ or the particular patient to be treated, close to the catheter end, as it is shown in Figure 6.

When balloon 7 is inflated it causes the thermocouple



ends to come out from the notches and then to deflect outwards until the thermocouple tips come into engagement with the bladder wall. The particular outwards deflecting system of thermocouples 6, 6', 6" 5 causes the ends of the respective power supply cables comprising the actual thermocouple, to tangentially engage the bladder wall, whereby no excessive concentrated pressures are generated. On the other hand, the tangential position taken by the thermocouple tips when 10 contacting the bladder wall, makes it possible to measure the actual temperature of the wall position considered in that at the boundary between said wall and the liquid filling the bladder there is a thin liquid layer substantially stationary, which is not affected by 15 the liquid circulation within the bladder, since it clings to the tissue because of a physical attraction, while the coil shape of the cable terminal stretches increases the thermal capacity of the thermocouple whose diameter, inclusive of the coils, is less than 20 0,7 mm whereby the thermocouple is completely submerged within the liquid stationary layer having a thickness of approximately 1 mm.

After the thermocouples have been deflected outwards within the bladder, it is still possible to modify their 25 location by performing pushing and/or pulling actions on

the reinforced power supply cables, as mentioned above, and possibly by rotating the catheter containing them.

Control of the temperature detected on the bladder walls and/or within the circulating liquid mass, is obtained  
5 by changing the flowrate of said liquid from few cubic centimeters per minute to several tens of cubic centimeters per minute. The circulated fluid circulating system prevents permanence or formation and build-up of possible gas bubbles within the bladder or through the  
10 circuit, in that air or other gas bubbles having possibly formed or being already present, are entrained out by the continuous flow and exhausted to the outer environment in an appropriate position of the outer pumping circuit. In addition, the liquid circulation provided as  
15 above prevents the antenna and the environment thereof from overheating, therefore from causing undesirable reactions within the circulating liquid.

It is pointed out herein that all the antenna and thermocouple components contacted by the liquid  
20 circulating within the bladder are sealingly lined and insulated from the outer environment by a polytetrafluoroethylene layer whereby, after each usage and application they may be sterilized for subsequent further use.

## CLAIMS

1. A radiating device for hyperthermia including a catheter provided with an inflatable balloon and adapted to receive one or more liquid flows passing there-  
5 through, a radiofrequency radiating antenna and one or more thermocouples, characterized in that said radiating antenna (1) is submerged within said liquid flow (2).
2. The device according to claim 1, characterized in that also a portion of said thermocouples (28, 29, 30)  
10 with their own power supply cables, and power supply cables (16, 24) of said antenna (1), are submerged within said liquid flow 2.
3. The device according to claim 2, characterized in that at least one (30) of said thermocouples (28, 29,  
15 30) is located strictly adjacent to the electrical dipole of said radiating antenna (1), while the remaining thermocouples are located in predetermined positions along said power supply cables (16, 24) of said antenna (1).
4. The device according to claim 1 or 2, characterized  
20 in that the power supply cables of some other thermocouples among (6, 6', 6'') are received within a side channel (5) of said catheter, in such a way that the ends of said thermocouples (6, 6', 6'') project out of an opening (4) of said catheter.
- 25 5. The device according to claim 4, characterized in

that said thermocouples (6, 6', 6") are deflected outwards when said balloon (7) is inflated by injecting a fluid therein.

6. The device according to claim 5, characterized in that the outwardly deflected ends of said thermocouples (6, 6', 6") come into tangential engagement with the body irradiated by said antenna (1).

7. A device according to any one of the previous claims, characterized in that said fluid flow proceeds in one direction through a central channel (2) and in the opposite direction through a side channel (5) of said catheter, and it flows out from said catheter through an opening (3) while flowing back into said catheter through a second separate opening (4).

8. A device according to any one of the previous claims characterized in that all the components of said antenna are tightly and sealingly sheathed within a chemically and physiologically inert and sterilizable lining.

9. A device according to any one of the previous claims, for the hyperthermal therapy of tumors.

Fig. 1

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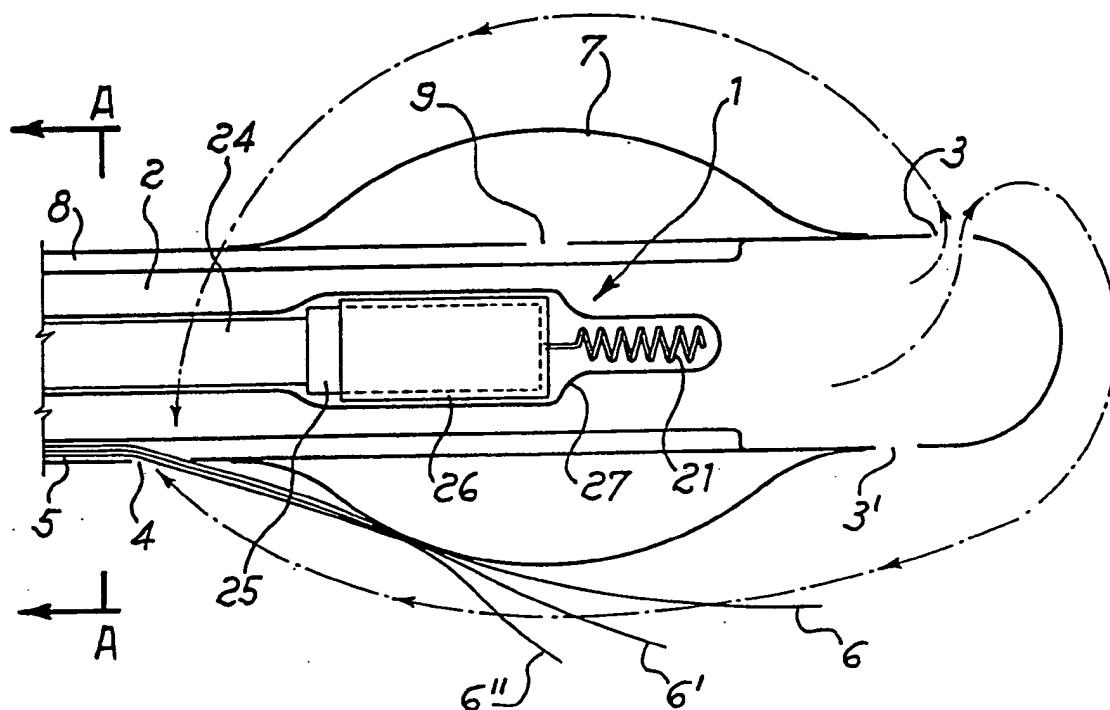
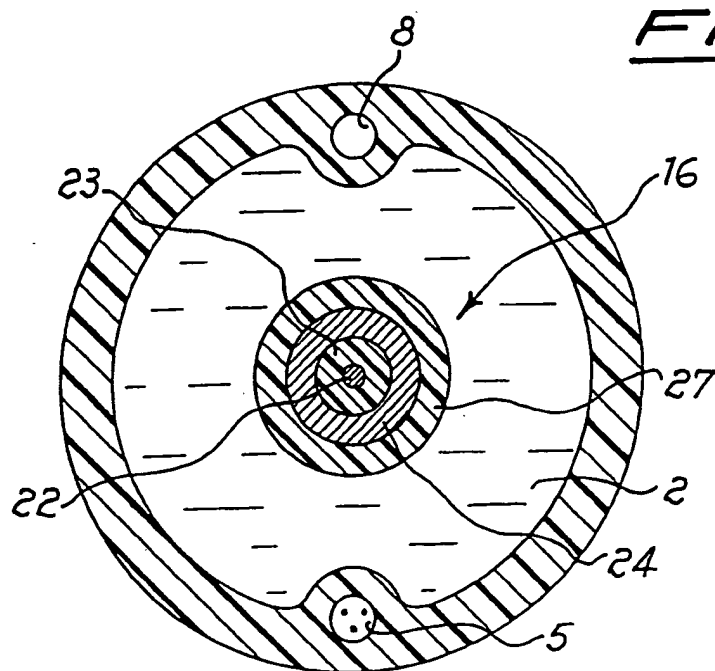
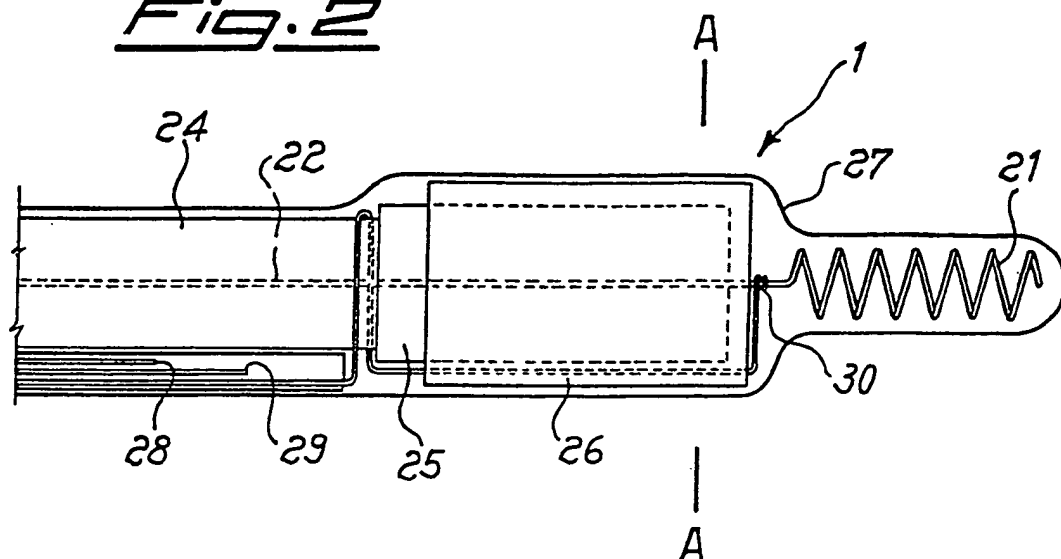
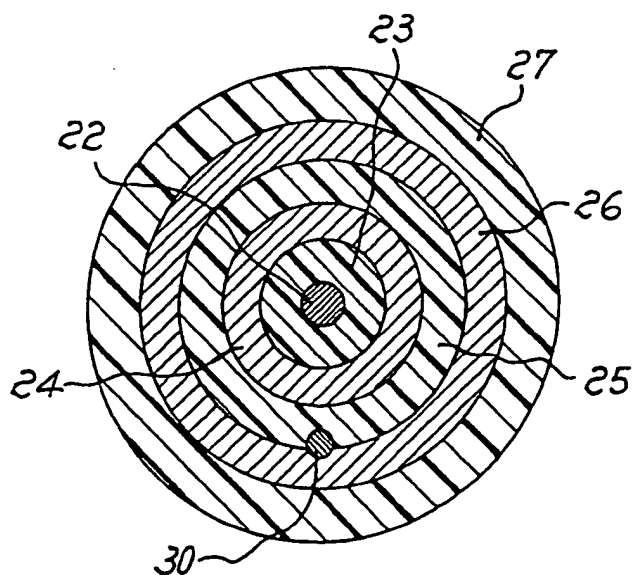


Fig. 1A



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*Fig. 2**Fig. 2A*

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Fig. 3

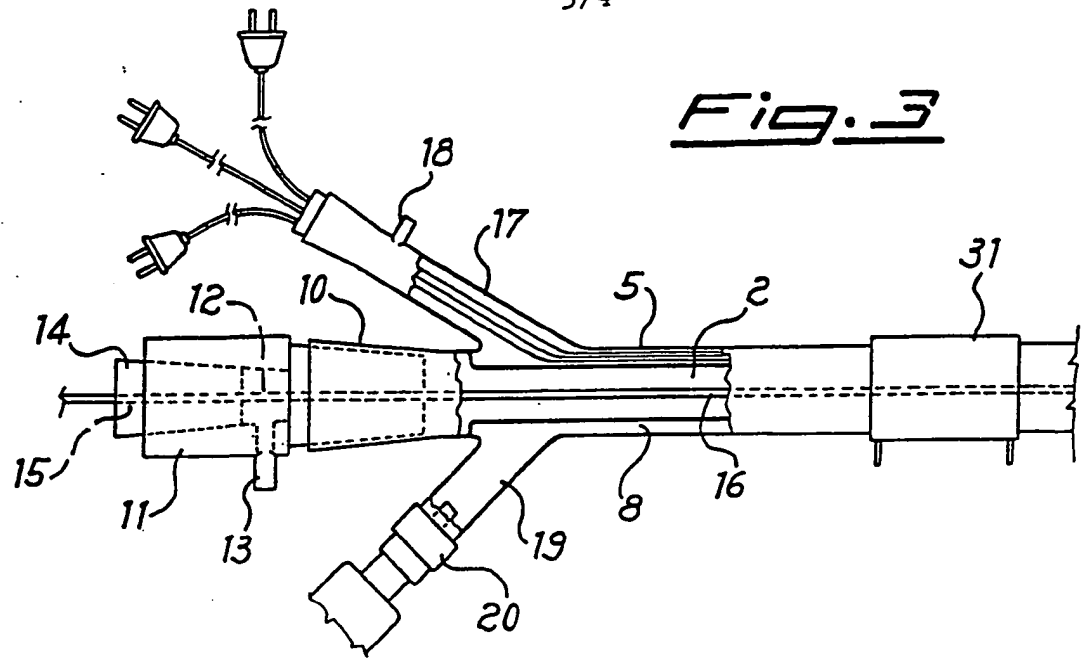


Fig. 4

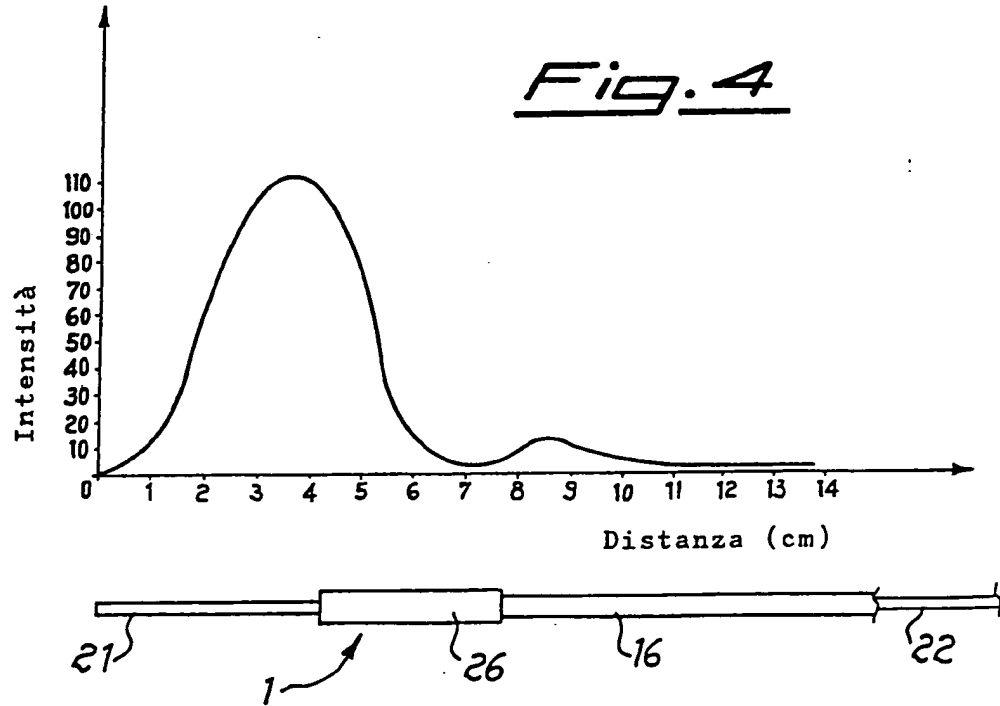
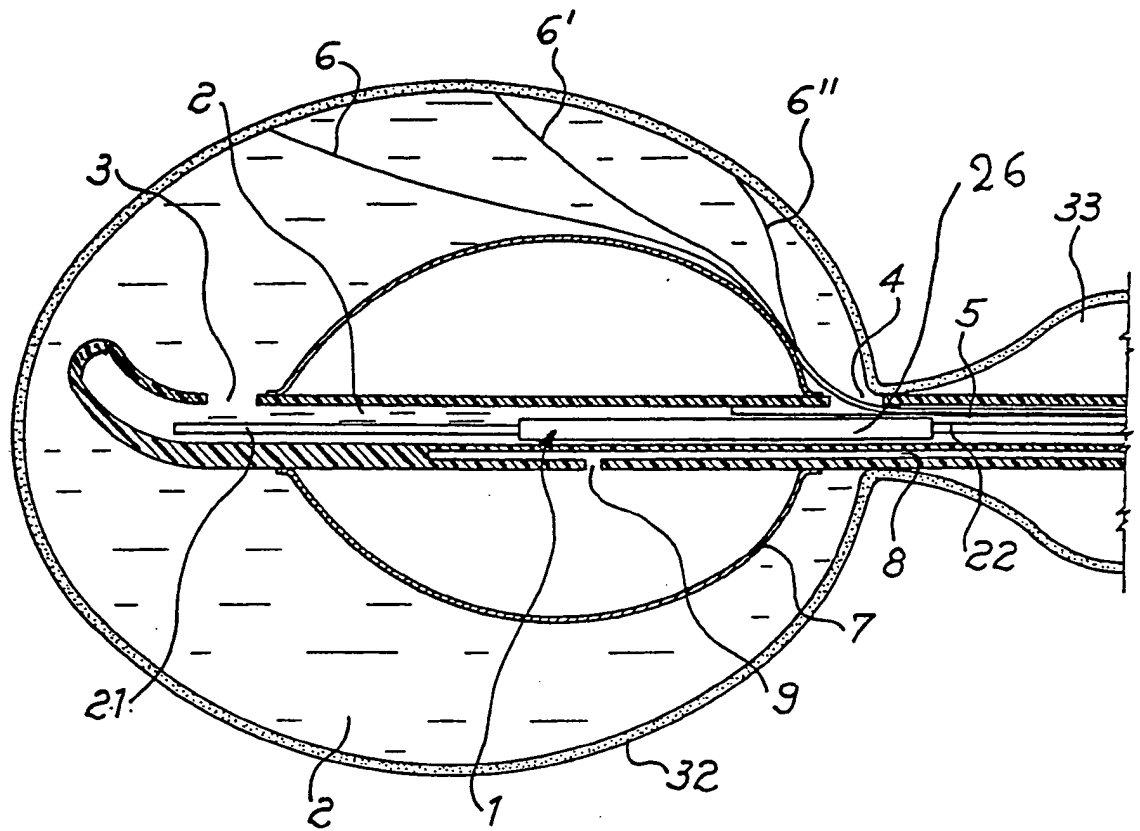
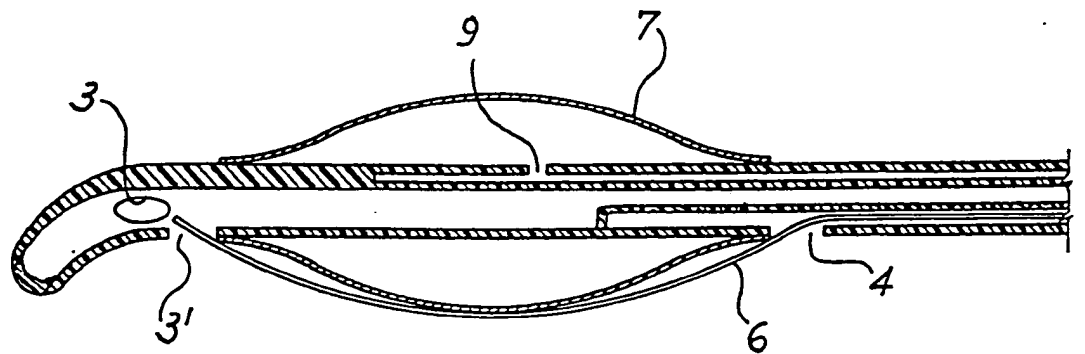


Fig. 5

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Fig. 6



## INTERNATIONAL SEARCH REPORT

PCT/IT 92/00142

International Application No

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61B17/36		
II. FIELDS SEARCHED		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl. 5	A61B ; A61M	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	US,A,4 924 863 (STERZER) 15 May 1990	1,2,9
Y	see column 5, paragraph 1; figures 1A,1B	7,8
Y	WO,A,9 003 152 (CONSIDINE) 5 April 1990	7
Y	see page 11, paragraph 1; figure 6	8
Y	US,A,4 154 246 (LEVEEN) 15 May 1979	
	cited in the application	
	see column 2, line 36 - line 40	
A	DE,A,3 707 921 (OLYMPUS) 17 September 1987	1
	see column 7, paragraph 4; figure 9	
	see column 9, paragraph 6; figures 17,18	
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<p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
08 MARCH 1993	24. 03. 93	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	BARTON S.	

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		Relevant to Claim No.
Category <sup>a</sup>	Citation of Document, with indication, where appropriate, of the relevant passages	
A	WO,A,8 911 311 (KASEVICH) 30 November 1989 see page 19, paragraph 2; figure 13 see page 22 ---	1,4,5
A	US,A,4 967 765 (TURNER) 6 November 1990 see column 4, line 35 - line 37 ---	3
A	US,A,5 007 437 (STERZER) 16 April 1991 -----	

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

IT 9200142  
SA 67364

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
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